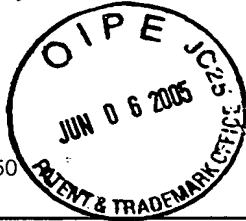


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## Request For Continued Examination (RCE) Transmittal

Address to:  
Mail Stop: RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450



Application Number	10/049,672
Filing Date	04/30/2002
First Named Inventor	Y. KURATA
Art Unit	1765
Examiner Name	Duy Vu Nguyen Deo
Attorney Docket Number	566.41191X00

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 C.F.R. § 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

- a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.
- i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on
- ii. ☐ Other:
- b. ☒ Enclosed
- i. ☒ Submission/Amendment
- ii. ☒ Declaration
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other:

### 2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
- b. ☐ Other

### 3. Fees

The RCE fee under 37 CFR 1.17(e) is required by 37 C.F.R. § 1.114 when RCE is filed.

- a. ☒ The Director is hereby authorized to charge any deficiencies in the following fees, or credit any overpayments, to Deposit Account No. 01-2135 (case 566.41191X00).
- i. ☒ RCE fee required under 37 CFR 1.17(e) (\$790.00 enclosed).
- ii. ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
- iii. ☐ Other:
- b. ☐ Check in the amount of \$ enclosed
- c. ☒ Payment by credit card - \$790.00 (Form PTO-2038 enclosed)

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	WILLIAM I. SOLOMON	Registration No. (Attorney/Agent)	28,565
Signature		Date	June 6, 2005

### CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

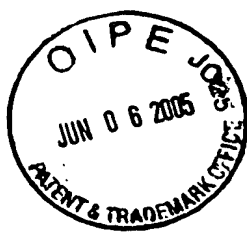
Name (Print/Type)		Date	
Signature			

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

06/08/2005 HAL111 00000064 10049672

01 FC:1801	790.00 OP
02 FC:1201	1400.00 OP
03 FC:1202	1550.00 OP



566.41191X00

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Y. KURATA, et al.  
Application No.: 10/049,672  
Filed: April 30, 2002  
For: POLISHING MEDIUM FOR CHEMICAL-MECHANICAL  
POLISHING, AND METHOD OF POLISHING SUBSTRATE  
Examiner: 1765  
Group: Duy Vu Nguyen Deo  
Confirm. No.: 7706

**SUBMISSION UNDER 37 CFR 1.114  
(AMENDMENT)**

**Mail Stop: RCE**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

June 6, 2005

Sir:

Subsequent to the filing of a Notice of Appeal on April 4, 2005, in response to the Office Action mailed October 4, 2004, and concurrently with the filing of a Request for Continued Examination (RCE) Transmittal, please amend the above-identified application as listed in the following, and as set forth on the following pages:

AMENDMENTS TO THE CLAIMS; and

REMARKS are included following the amendments.



566.41191X00

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Y. KURATA, et al.  
Application No.: 10/049,672  
Filed: April 30, 2002  
For: POLISHING MEDIUM FOR CHEMICAL-MECHANICAL  
POLISHING, AND METHOD OF POLISHING SUBSTRATE  
Examiner: 1765  
Group: Duy Vu Nguyen Deo  
Confirm. No.: 7706

**ADDITIONAL CLAIMS FEE TRANSMITTAL**

**Mail Stop: AMENDMENT**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

June 6, 2005

Sir:

Transmitted herewith is an **Request for Continued Examination (RCE)**  
**Transmittal and Submission Under 37 CFR 1.114** in the above-identified application.

☐ No additional fee is required.

☒ Also attached: Credit Card Payment Form (Form PTO-2038)

The fee (if applicable) has been calculated as shown below:

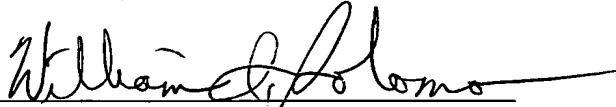
	NO. OF CLAIMS	HIGHEST PREVIOUSLY PAID FOR	EXTRA CLAIMS	RATE	FEE
Total Claims	71	40	31	x \$50 =	<b>\$1,550.00</b>
Independent Claims	10	3	7	x \$200 =	<b>\$1,400.00</b>
TOTAL FEE DUE					<b><u>\$2,950.00</u></b>

- [X] A Credit Card Payment form in the amount of \$3,740.00 is attached hereto.
- [X] The Commissioner is hereby authorized to charge any deficiencies in fees associated with this communication or credit any overpayment, to Deposit Account No. 01-2135, including any filing fees under 37 CFR 1.16 for presentation of extra claims and any patent application processing fees under 37 CFR 1.17 (case 566.41191X00).

Respectfully Submitted,

**ANTONELLI, TERRY, STOUT & KRAUS, LLP**

By



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